An ELNES study of LiAlO₂

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 $(100)\gamma$ -LiAlO₂ is considered to be a promising substrate which allows for growth of both c- and m-plane oriented GaN layers [1]. In contrast to conventional substrates like SiC the advantage of $(100)\gamma$ -LiAlO₂ is the very small lattice mismatch of about 1.4%. Also, it allows the growing of non-polar m-plane GaN which shows absence of internal electrostatic fields along the growing direction. This makes it possible to generate new efficient white light-emitting diodes (LEDs) [2]. At certain growth conditions the LiAlO₂-single crystals contain a core of inclusions. Also at the GaN/LiAlO₂ interface there are inclusions which are partly surrounded by cavities in the LiAlO₂-matrix. These inclusions at the interface are suspected to be the cause of a spontaneous separation of thick GaN layers. This effect may be used in the future to generate GaN layers for homoepitaxy which would allow to produce almost defect free GaN wafers.

Preliminary TEM analysis using electron diffraction showed that the inclusions mentioned above consist of $LiAl_5O_8$ [3]. But also the formation of Al_2O_3 can occur. Simulations of Oxygen K-edge ELNES spectra were carried out using the full potential linear augmented plane wave code WIEN97. TEM analysis comprising STEM and EELS was carried out on a 200 kV JEOL JEM2200FS microscope at Humboldt-University Berlin. In Figure 1 the simulated and experimental spectra are compared. Considering the known limitations of the simulation program, the very good agreement of simulation and experimental spectra is remarkable.

The investigations showed that the inclusions in γ -LiAlO2 consist of LiAl₅O₈. It was also found that the specimen is damaged due to electron beam irradiation. Comparison of ELNES simulations and experimental spectra did not only show the already known transformation of LiAlO₂ into LiAl₅O₈ [4] but also an unexpected transition to Al₂O₃ which is shown in Figure 2. At the beginning the two peaks of LiAlO₂ can be clearly identified, while the intensity of the first peak is decreasing until the peak is transformed to the shoulder in the rising edge of Al₂O₃. These changes can be attributed to the following reactions:

5 LiAlO₂ \rightarrow 2 Li₂O \uparrow + LiAl₅O₈

 $2 \text{ LiAl}_5\text{O}_8 \rightarrow \text{Li}_2\text{O} \uparrow + 5 \text{ Al}_2\text{O}_3.$

The spectra contain an additional peak at 531 eV which is marked with an arrow in Figure 2. This peak is observed as long as the transformation from LiAlO₂ to Al₂O₃ takes place. This suggests that it can be associated with the loss of Li₂O. The peak can be attributed to a π^* -resonance which occurs when an electron is excited from a σ -molecular orbital to a π^* -orbital [5]. Li₂O has a similar structure as H₂O which means that there are only σ -bondings and no π^* -resonance can occur. Thus, the peak at 531 eV can be attributed to molecular oxygen. We conclude that the electron beam sets free O₂ due to heating of the sample. This causes a change in the chemical composition of the sample so that it becomes more Al-rich.

Further investigation of the LiAlO₂ transformation will allow an adaption of the production parameters for fabrication of defect-free LiAlO₂.

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Figure 1. Comparison of simulated and experimental ELNES spectra of oxygen K-edge. From top to bottom $LiAlO_2$, $LiAl_5O_8$ and Al_2O_3 .



Figure 2. Spectra acquired at intervals of five seconds. The transformation of LiAlO₂ to Al_2O_3 is clearly visible. The π^* -resonance peak of molecular oxygen at 531 eV is marked with an arrow.